



Individual Account Application Form

Pepperstone Financial Markets Limited

Version: 1
Date November 2021

For any assistance or queries with this form,
please contact our Client Services Desk on
+254 203 893 547/8/9,
email backoffice@pepperstone.com
or contact live chat on our website.

Pepperstone Financial Markets Limited
Ground Floor, The Catalyst, Silicon Avenue,
40 Cybercity, 72201 Ebene,
REPUBLIC OF MAURITIUS

Risk Warning: trading leveraged products like Margin FX and CFDs puts your capital at risk.



Individual Account Application Form

Personal Details

Title: ☐ Mr. ☐ Mrs ☐ Ms. ☐ Other (Please specify): _____

Surname: _____

Other Names: _____

Date of Birth: _____

Gender: _____

Nationality: _____

Country of Residence: _____

National Identity/ Passport Number: _____

Account Details

MT4 Standard ☐

MT4 Razor ☐

MT5 Standard ☐

MT5 Razor ☐

cTrader Standard ☐

cTrader Razor ☐

Leverage 100:1 ☐ 200:1 ☐ 300:1 ☐ 400:1 ☐ 500:1 ☐

Currency USD ☐

How can we reach you?

Mobile Number: _____

Email Address: _____

Postal Address: _____

Postal Code: _____ Town: _____ Country: _____

Residential Address: _____

Town: _____ Street Name: _____

Estate/ Village: _____

House/ LR Number: _____

Nearby Landmark: _____

(i.e., School Hospital Centre Mall etc.)

Employer/ Business Details

Employed ☐ Self Employed ☐ Retired ☐ Student ☐ Other ☐ (Please specify): _____

Occupation: _____

Employee Position: _____

Nature of Business (if self employed): _____

Annual Salary: Less than USD20,000 ☐ USD 20,000-99,000 ☐ USD100,000-249,000 ☐ Greater than USD250,000 ☐

Annual Savings: Less than USD20,000 ☐ USD 20,000-99,000 ☐ USD100,000-249,000 ☐ Greater than USD250,000 ☐



Appropriateness Test

- i. Have you ever worked in a position requiring knowledge of CFDs, FX, leverage or risk management?
Yes ☐ No ☐
- ii. Do you have any professional qualifications which give you an understanding of derivatives trading and leverage?
Yes ☐ No ☐
- iii. How much experience do you have trading CFDs, FX or other leveraged products?
0-6 ☐ 6-12 ☐ 12+Months ☐ Never ☐
- iv. How have you mainly traded these instruments?
On my own without advice ☐ On my own with Advice ☐ Using managed funds ☐ Never ☐

Declaration

By signing this application, you declare to Pepperstone Financial Markets

You have read and understood the following:

Pepperstone Financial Markets
Terms and Conditions
Risk Disclosure
Privacy Policy
Complaints Notice
Website Terms and Conditions
Cookie Policy

You understand the risks associated with investing in the Contracts described in the legal documents. You understand that Contracts are leveraged products. This means a small movement in the market can lead to a much larger movement in the value of your investment. This can work against you as well as for you. You acknowledge that you could sustain a loss greater than and not limited to the margin that you have deposited with us. You believe that, based on your review of the legal documents, you are capable of assessing the merits of and understanding the terms, conditions and risks of Contracts. Therefore, the Contracts are an appropriate product for you to invest in. That the information that you have provided in this application form is true and correct and you will notify Pepperstone in writing if any information provided changes or ceases to be correct. This application form requires you to disclose personal information. The Privacy Policy explains how we collect personal information and then how we maintain, use and disclose this information. By signing and returning this application form, you acknowledge having reviewed the Privacy Policy and you consent to us collecting, using and disclosing information about any person named in this application form for the purposes described.

Sign here:

Name: _____

Date: _____

Signature: _____



Secret Question and Answer

To always ensure the safety of your account, Pepperstone will ask you a secret question when dealing with us on the Phone, Live Chat or Email. This allows us to verify that you are the account owner when communicating with us. Please write a question of your choice and enter an answer.

Question: _____

Answer: _____

Know Your Customer Requirements

In line with The Financial Intelligence and Anti-Money Laundering ("FIAML") Act 2002, the FIAML Regulations 2018 and other laws and regulations as applicable, we are required to take reasonable measures to satisfy ourselves as to the true identity of any applicant seeking to enter a business relationship with us or to carry out a transaction or series of transactions with us, by requiring the applicant to produce an official record reasonably capable of establishing the true identity of the applicant and proof of address such as:

Proof of Identity Document

- A National identity card
- Current Passport

Proof of Address Document

- Recent Utility Bill (not older than 3 months)
- Bank Statement (not older than 3 months)
- Letter from current Employer confirming resident address.
- Current Lease Agreement

Please note that the above requested documents should be legible and duly translated in either English or French.

Once you have completed this application form, please send it to backoffice@Pepperstone.com

Risk Warning: Please note that leveraged trading involves significant risk of loss. It is not suitable for all investors and you should make sure you understand the risks involved, seeking independent advice if necessary.