

# Sophisticated Investor Acknowledgement

By signing this Sophisticated Investor Acknowledgement, I agree that I have requested that Pepperstone Group Limited (**Pepperstone**) consider my experience for the purposes of becoming a Sophisticated Investor as defined under s761GA Corporations Act 2001 (Cth) (**the Act**).

I certify that I do genuinely believe I have the experience and knowledge required to be able to understand the risks and product features associated with CFD and FX trading. Including:

- I have the experience and capacity to make investment decisions without the benefit of regulated disclosure documents issued to retail clients in accordance with the Corporations Act.
- I have satisfied myself that I understand the legal and financial implications of being a Sophisticated Investor.
- I understand that, in being classified as a Sophisticated Investor, certain protections applicable to retail clients will not apply to me.
- I will not be acquiring financial products with Pepperstone in connection with a business.
- I understand that Pepperstone may withdraw my status as a wholesale client, and treat me as a retail client, at any time at its absolute discretion.

I acknowledge that as a Sophisticated Investor:

- Pepperstone will no longer provide me with a Product Disclosure Statement, Financial Services Guide or any other document that is required to be provided to a retail client. Where these documents have already been provided, I understand that those documents will no longer apply to me.
- Pepperstone does not have any obligation to me under Chapter 7 of the Act as would be provided to me as a retail client unless otherwise specified in the Act.

I acknowledge that I have read and, should I be approved as a Pepperstone Pro, I agree to be bound by:

- the Wholesale Client Information Statement; and
- the Pepperstone Terms and Conditions.

I understand and agree that any open positions I hold will be treated as wholesale effective from the date of my Pepperstone Pro classification.

If there are any inconsistencies between the Terms and Conditions and the Wholesale Client Information Statement, the Wholesale Client Information Statement will take precedence.

I declare that all information I have provided to Pepperstone as part of my submission is complete, accurate and is not misleading in any way.

I agree to notify Pepperstone as soon as possible if my circumstances change in a way which may reasonably impact my ability to meet the minimum standards set out by Pepperstone for Pepperstone Pro classification.

## Signature

Full name

Signature

Date (DD/MM/YYYY)

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