

Application Form

Joint Account



Pepperstone Group Limited
Level 16, Tower One,
727 Collins Street
Melbourne VIC 3008
AUSTRALIA

For any assistance or queries with this form, please contact our Client Services Desk on +61 3 9020 0155, email backoffice@pepperstone.com or contact live chat on our website.

ACN 147 055 703
www.pepperstone.com

Your Details

To be completed by applicants acting in their own capacity.

Title Mr Ms Mrs Dr Other

Surname

Given Names

Date of Birth
DAY MONTH YEAR

Residential Address

Country State Postcode

Contact Details Mobile

Email

Please note : different email must be used if you are our existing client

Secondary Applicant Details

To be completed by applicants acting in their own capacity.

Title Mr Ms Mrs Dr Other

Surname

Given Names

Date of Birth
DAY MONTH YEAR

Residential Address

Country State Postcode

Contact Details Mobile

Account Type Razor Account Standard Account

Leverage 100:1 200:1 300:1 400:1

Currency AUD SGD USD EUR GBP NZD JPY CHF

Introducing Broker

How did you hear about Pepperstone? If you are part of a trading group or were Introduced to Pepperstone by someone please let us know the details:

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Compensation Acknowledgement

NOTICE: the purpose of this document is for you, the client, to advise Pepperstone Group Limited as to the compensation you have agreed to pay your designated Introducing Broker. Please be advised that Pepperstone Group Limited is not responsible for calculating incentive fees or management fees and will assume the calculations provided to it by the Introducing Broker to be correct. Please also note that if your IB has requested Pepperstone Group Limited to mark up the spread (widen the spread on the prices) being streamed to you, that Pepperstone Group Limited does not participate in the additional pip(s) being added to the pricing and that the mark up will not be reflected on your trading statement as a separate line item.

I, the undersigned client, hereby agrees to, authorises and acknowledges that the following fee(s) will be charged to my account and paid to the introducing broker.

Declaration

By signing this application BOTH applicants declare to Pepperstone Group Limited that:

You confirm that you have read and understood the PDS including the terms and conditions of Contracts that form part of the PDS.

You understand the risks associated with investing in the Contracts described in the PDS.

You understand that Contracts are leveraged products. This means a small movement in the market can lead to a much larger movement in the value of your investment. This can work against you as well as for you. You acknowledge that you could sustain a loss greater than and not limited to the margin that you have deposited with us.

You believe that, based on your review of the PDS, you are capable of assessing the merits of and understanding the terms, conditions and risks of Contracts. Therefore, the Contracts are an appropriate product for you to invest in.

That the information that you have provided in this application form is true and correct and you will notify Pepperstone Group Limited in writing if any information provided changes or ceases to be correct.

This application form requires you to disclose personal information. The "Privacy" section of the PDS explains how we collect personal information and then how we maintain, use and disclose this information. By signing and returning this application form, you acknowledge having reviewed the "Privacy" section of the PDS and you consent to us collecting, using and disclosing information about any person named in this application form for the purposes described in the "Privacy" section of the PDS as permitted by the Privacy Act 1988.

Have BOTH you read and understood the Compensation Acknowledgement?

Have BOTH you read and understood the PDS ?

Have BOTH you read and understood the FSG ?

Do you BOTH understand the nature and risks of margined products?

Approx Joint Net Annual Income

Approx Joint Value of Savings and Investments

Joint Forex Trading Experience

Beginner Intermediate Advanced

Print Name

Signature

Date

DAY
MONTH
YEAR

Print Name

Signature

Date

DAY
MONTH
YEAR

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Secret Question and Answer

To ensure the safety of your account at all times Pepperstone will ask you a secret question when dealing with us on the Phone, Live Chat or Email. This allows us to verify that you are the account owner when communicating with us. Please write a question of your choice and enter an answer. Both nominated account holders must know the question and answer.

Secret Question

Example Questions: What is your mother's maiden name? What street did you grow up on?
What was your first pet's name? What is your favorite color?
Who is your favorite actor, musician, or artist?

Secret Answer

Identity Requirements

Pepperstone Group Limited is required by the Australian Anti-Money Laundering and Counter Terrorism Financing Act 2006 to verify your identity.

The identity requirements are as follows for EACH applicant.

Option 2

Option 1

Primary Photo ID*

> Passport , Driver's License

AND

Secondary ID**

> Utility Bill, Notice from a Gov Tax Office

* must not have expired

** less than 3 months old

CERTIFIED Primary Photo ID*

> Passport

> Driver's License

> Government Issued Photo ID

* must not have expired

* If ID is NOT in English it must be accompanied with a translation.

Submit Application

Once you have completed this application form please submit to us via one of the following methods. Please allow up to two business days for your trading account to be approved and opened.

Risk Warning: CFDs and margin FX are leveraged products that carry a high level of risk to your capital. Trading is not suitable for everyone and may result in you losing substantially more than your initial investment. You do not own, or have any rights to, the underlying assets. You should only trade with money you can afford to lose. Past performance is no guarantee of future performance and tax laws may be subject to change. The information on this website is general in nature and does not take into account your or your client's personal objectives, financial situations or needs. Please consider our PDS and FSG and ensure that you fully understand the risks involved in light of your personal circumstances before you decide whether to acquire our services. We encourage you to seek independent advice if necessary.

Pepperstone Group Limited is registered in Australia at Level 16, Tower One, 727 Collins Street, Melbourne, VIC 3008, and is licensed and regulated by the Australian Securities and Investments Commission.

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